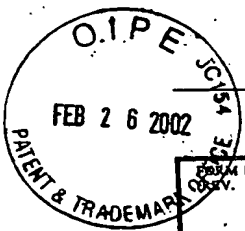


PCT \$

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER ETV-1
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/069456</b>
INTERNATIONAL APPLICATION NO. PCT/BG00/00022	INTERNATIONAL FILING DATE 2 August 2000	PRIORITY DATE CLAIMED 27 August 1999
TITLE OF INVENTION METHOD AND DEVICE FOR CONNECTION AND ADJUSTMENT OF OPTICAL UNITS: ELEMENTS, MODULES, DEVICES, AND SYSTEMS		
APPLICANT(S) FOR DO/EO/US GEORGIEV, Goran Dimitrov; IVANOV, Alexander Eftimov		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ul style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ul style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ul></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ul style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto.</li><li>b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ul></li><li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ul style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ul></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ul>		
Items 11 to 20 below concern document(s) or information included:		
<ul style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li><li>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li><li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>20. <input type="checkbox"/> Other items or information:</li></ul>		

106015-220

U.S. APPLICATION NO. <b>10/069456</b>		INTERNATIONAL APPLICATION NO. <b>PCT/BG00/00022</b>		ATTORNEY'S DOCKET NUMBER <b>ETV-1</b>	
<b>21. <input type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 890.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$18.00		
Independent claims	- 3 =		x \$80.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 445.00	
<b>SUBTOTAL =</b>				\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 445.00	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>445.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
SIGNATURE					
<u>Ira S. Dorman</u>					
NAME					
<u>24,469</u>					
REGISTRATION NUMBER					



FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER ETV-1	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/069456	
INTERNATIONAL APPLICATION NO. PCT/BG00/00022		INTERNATIONAL FILING DATE 2 August 2000		PRIORITY DATE CLAIMED 27 August 1999	
TITLE OF INVENTION METHOD AND DEVICE FOR CONNECTION AND ADJUSTMENT OF TEMS					

International Patent Application PCT/GB00/00022  
International Filing Date: August 2, 2000  
Priority Date: August 27, 1999  
Inventors: Goran Dimitrov Georgiev  
Alexander Efthimov Ivanov

Inventors: Goran Dimitrov Georgiev  
Alexander Eftimov Ivanov

Title: METHOD AND DEVICE FOR CONNECTION AND ADJUSTMENT  
 OF OPTICAL UNITS: ELEMENTS, MODULES, DEVICES,  
 AND SYSTEMS

Agent's File: ETV-1

Our File: 2380 US

Our File: 2380 US  
Enclosed are the following relating to the national  
phase entry of the identified international patent  
application:

- 1) Transmittal Letter  
2) a Declaration  
3) Power of Attorney from each inventor  
4) a Preliminary Amendment including:  
    i) new claims 1 to 9, and  
    ii) marked up original claims 1 to 9,  
5) a cheque in the amount of \$445

please date stamp acknowledgement receipt

**V**  
**ng items and other information:**

**S.C. 371.**

**submission must include**

RO/US).

99.

**171(c)(3))**

1).

**T expired.**

**SEABY & ASSOCIATES**  
603 - 880 WELLINGTON ST.  
OTTAWA, ONTARIO K1R 6K7  
Tel: 232-5815

PAY TO: *Commissioner of Pat.*  
the order of *Four Hundred & forty*

THE BANK OF NOVA SCOTIA  
425 PRESTON STREET AT NORMAN STREET  
OTTAWA, ONTARIO K1S 4N3

RE *PCT/BG00/00022*  
*National Phase (U.S.)*

⑈01011000⑈ ⑆07:20⑆

if the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).

Director(s) (35 U.S.C. 371(c)(4)).

of the annexes of the International Preliminary Examination Report under PCT

ent(s) or information included:

ement under 37 CFR 1.97 and 1.98.

cording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

at

preliminary amendment.

and/or address letter.

the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

international application under 35 U.S.C. 154(d)(4).

language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☐ Other items or information: